

**Blue Lagoon**  
**391 Kingsway**  
**Swansea**  
**SA1 5LQ**

**Inspection 2009/2010**

**Healthcare Inspectorate Wales**

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<b>Inspection Date:</b>	<b>Inspection Manager:</b>
20 March 2010	Ms P Price

## Introduction

Independent healthcare providers in Wales must be registered with the Healthcare Inspectorate Wales (HIW). HIW acts as the regulator of healthcare services in Wales on behalf of the Welsh Ministers who, by virtue of the Government of Wales Act 2006, are designated as the registration authority.

To register, they need to demonstrate compliance with the Care Standards Act 2000 and associated regulations. The HIW tests providers' compliance by assessing each registered establishment and agency against a set of *National Minimum Standards*, which were published by the Welsh Assembly Government and set out the minimum standards for different types of independent health services. Further information about the standards and regulations can be found on our website at: [www.hiw.org.uk](http://www.hiw.org.uk).

Readers must be aware that this report is intended to reflect the findings of the inspection episode. Readers should not conclude that the circumstances of the service will be the same at all times.

## Background and main findings

An announced inspection to Blue Lagoon was undertaken on the 20 March 2010 by an Inspection Manager. The establishment was first registered on the 14 October 2008 and is registered to offer a range of treatments using Intense Pulse Light technology.

Prior to the inspection the registered provider had submitted a completed pre-inspection questionnaire. The inspection visit focused upon the analysis of a range of documentation, discussion with the registered provider, examination of patient records and a tour of the premises.

The IPL treatment room and controlled area was located on the ground floor of the premises, to the rear of the reception area.

In respect of the main inspection findings, the registered person had in place:

- A statement of purpose and patient guide.
- A range of policies and procedures with the date of formulation and anticipated review. There was a record of staff signing to state that they had read and understood the confidentiality policy.
- Comprehensive patient records that included a medical questionnaire and a consent to treatment form.
- A patient register and medical protocol.

- Local rules for the safe operation of the Intense Pulsed Light machine that had been signed by the authorised operators to confirm that the rules had been read and understood and had been implemented. However, it was noted that some authorised operators no longer undertook treatment. This was discussed with the registered provider during the visit. Personnel who no longer undertake treatment will have their names removed from the list of authorised operators.
- Patient questionnaires had been completed and the results had been analysed and were very positive.

In respect of the other inspection findings all the authorised laser operators had undertaken core of knowledge training and their certificates were displayed for patients to see. A recent fire drill had been undertaken, however, individual staff names had not been recorded in record book. Protection of Vulnerable Adult (POVA) training had not been undertaken. The registered provider had applied to attend an appropriate course and was awaiting confirmation for course availability.

A number of staff files were viewed. However, it was noted that some documentation, references were not available. This was discussed with the provider at the time of the visit.

The registered provider has since confirmed that the list of authorised users has been updated and amended. A fire list name drill is now available and will be kept up to date.

The Inspection Manager would like to thank the registered provider for her time and co-operation during the inspection visit.

## Achievements and compliance

This is the settings first inspection visit. Therefore there are no outstanding requirements.

## Registration Types

This registration is granted according the type of service provided. This report is for the following type of service.

Description
<b>Independent Hospital</b>
<b>Independent hospital providing a listed service using a prescribed technique or prescribed technology:</b>
<ul style="list-style-type: none"> <li>• <b>Laser or Intense Pulsed Light Source</b></li> </ul>

## Conditions of registration

This registration is subject to the following conditions. Each condition is inspected for compliance. The judgement is described as Compliant, Not Compliant or Insufficient Assurance.

Condition number	Condition of Registration	Judgement
1.	<p>The registered person will not provide medical or psychiatric services of any kind nor any "listed services" as defined by section 2(7) of the Care Standards Act 2000 other than those set out below:</p> <ul style="list-style-type: none"> <li>◆ Treatment using an intense pulsed light system as referred to in regulation 3(1)(b) of the Private and Voluntary Health Care (Wales) Regulations 2002.</li> </ul>	Compliant
2.	<p>In relation to the treatment specified in condition 1 above, the registered person must only use the Skin Soft Intense Pulsed Light System (and only for the purpose of):</p> <ul style="list-style-type: none"> <li>◆ Hair Removal</li> <li>◆ Rosacea</li> <li>◆ Pigmented Lesions</li> <li>◆ Thread Veins</li> <li>◆ Scar Reduction</li> <li>◆ Photo- Rejuvenation</li> <li>◆ Bacterial Acne</li> <li>◆ Reduction of Acne Scarring</li> </ul>	Compliant
3.	No persons under the age of eighteen (18) years may be provided with treatment.	Compliant
4.	Overnight accommodation must not be provided at the establishment.	Compliant

## Assessments

The Healthcare Inspectorate Wales carries out on site inspections to make assessments of standards. If we identify areas where the provider is not meeting the minimum standards or complying with regulations or we do not have sufficient evidence that the required level of performance is being achieved, the registered person is advised of this through this inspection report. There may also be occasions when more serious or urgent failures are identified and the registered person may additionally have been informed by letter of the findings and action to be taken but those issues will also be reflected in this inspection report. The Healthcare Inspectorate Wales makes a judgment about the frequency and need to inspect the establishment based on information received from and about the provider, since the last inspection was carried out. Before undertaking an inspection, the Healthcare Inspectorate Wales will consider the information it has about a registered person. This might include: A self assessment against the standards, the previous inspection report findings and any action plan submitted, provider visits reports, the Statement of Purpose for the establishment or agency and any complaints or concerning information about the registered person and services.

In assessing each standard we use four outcome statements:

Standard met	No shortfalls: achieving the required levels of performance
Standard almost met	Minor shortfalls: no major deficiencies and required levels of performance seem achievable without extensive extra activity
Standard not met	Major shortfalls: significant action is needed to achieve the required levels of performance
Standard not inspected	This is either because the standard was not applicable, or because, following an assessment of the information received from and about the establishment or agency, no risks were identified and therefore it was decided that there was no need for the standard to be further checked at this inspection

## Assessments and Requirements

The assessments are grouped under the following headings and each standard shows its reference number.

- Core standards
- Service specific standards
- Standards Abbreviations:

C = Core standards

A = Acute standards

MH = Mental health standards

H = Hospice standards

MC = Maternity standards

TP = Termination of pregnancy standards

P = Prescribed techniques and technology standards

PD = Private doctors' standards

If the registered person has not fully met any of the standards below, at the end of the report, we have set out our findings and what action the registered person must undertake to comply with the specific regulation. Failure to comply with a regulation may be an offence. Readers must be aware that the report is intended to reflect the findings of the inspector at the particular inspection episode. Readers should not conclude that the circumstances of the service will be the same at all times; sometimes services improve and conversely sometimes they deteriorate

### Core standards

Number	Standard Topic	Assessment
C1	Patients receive clear and accurate information about their treatment	Standard met
C2	The treatment and care provided are patient - centred	Standard met
C3	Treatment provided to patients is in line with relevant clinical guidelines	Standard met
C4	Patient are assured that monitoring of the quality of treatment and care takes place	Standard met
C5	The terminal care and death of patients is handled appropriately and sensitively	Not applicable
C6	Patients views are obtained by the establishment and used to inform the provision of treatment and care and prospective patients	Standard met
C7	Appropriate policies and procedures are in place to help ensure the quality of treatment and services	Standard met
C8	Patients are assured that the establishment or agency is run by a fit person/organisation and that there is a clear line of accountability for the delivery of services	Standard met
C9	Patients receive care from appropriately recruited, trained and qualified staff	Standard almost met
C10	Patients receive care from appropriately registered nurses who have the relevant skills knowledge and expertise to deliver patient care safely and effectively	Not applicable

Number	Standard Topic	Assessment
C11	Patients receive treatment from appropriately recruited, trained and qualified practitioners	Not applicable
C12	Patients are treated by healthcare professionals who comply with their professional codes of practice	Not applicable
C13	Patients and personnel are not infected with blood borne viruses	Not applicable
C14	Children receiving treatment are protected effectively from abuse	Not applicable
C15	Adults receiving care are protected effectively from abuse	Standard met
C16	Patients have access to an effective complaints process	Standard met
C17	Patients receive appropriate information about how to make a complaint	Standard met
C18	Staff and personnel have a duty to express concerns about questionable or poor practice	Standard met
C19	Patients receive treatment in premises that are safe and appropriate for that treatment. Where children are admitted or attend for treatment, it is to a child friendly environment	Standard met
C20	Patients receive treatment using equipment and supplies that are safe and in good condition	Standard met
C21	Patients receive appropriate catering services	Not applicable
C22	Patients, staff and anyone visiting the registered premises are assured that all risks connected with the establishment, treatment and services are identified, assessed and managed appropriately	Standard met
C23	The appropriate health and safety measures are in place	Standard met
C24	Measures are in place to ensure the safe management and secure handling of medicines	Not applicable
C25	Medicines, dressings and medical gases are handled in a safe and secure manner	Not applicable
C26	Controlled drugs are stored, administered and destroyed appropriately	Not applicable
C27	The risk of patients, staff and visitors acquiring a hospital acquired infection is minimised	Not applicable
C28	Patients are not treated with contaminated medical devices	Not applicable
C29	Patients are resuscitated appropriately and effectively	Standard met
C30	Contracts ensure that patients receive goods and services of the appropriate quality	Standard met
C31	Records are created, maintained and stored to standards which meet legal and regulatory compliance and professional practice recommendations	Standard met
C32	Patients are assured of appropriately competed health records	Standard met

Number	Standard Topic	Assessment
C33	Patients are assured that all information is managed within the regulated body to ensure patient confidentiality	Standard met
C34	Any research conducted in the establishment/agency is carried out with appropriate consent and authorisation from any patients involved, in line with published guidance on the conduct of research projects	Not applicable

### Service specific standards - these are specific to the type of establishment inspected

Number	Prescribed Techniques and Technology Standards	Assessment
	Class 3B and 4 Lasers and / or Intense Pulsed Light Sources	
P1	Procedures for use of lasers and intense pulsed lights	Standard met
P2	Training for staff using lasers and intense pulsed lights	Standard met
P3	Safe operation of lasers and intense pulsed lights	Standard met

### Schedules of information

The schedules of information set out the details of what information the registered person must provide, retain or record, in relation to specific records.

Schedule	Detail	Assessment
1	Information to be included in the Statement of Purpose	Standard met
2	Information required in respect of persons seeking to carry on, manage or work at an establishment	Standard almost met
3 (Part I)	Period for which medical records must be retained	Standard met
3 (Part II)	Record to be maintained for inspection	Standard met
4 (Part I)	Details to be recorded in respect of patients receiving obstetric services	Not applicable
4 (Part II)	Details to be recorded in respect of a child born at an independent hospital	Not applicable

## Requirements

The requirements below address any non-compliance with The Private and Voluntary Health Care (Wales) Regulations 2002 that were found as a result of assessing the standards shown in the left column and other information which we have received from and about the provider. Requirements are the responsibility of the ‘registered person’ who, as set out in the legislation, may be either the registered provider or registered manager for the establishment or agency. The Healthcare Inspectorate Wales will request the registered person to provide an ‘action plan’ confirming how they intend to put right the required actions and will, if necessary, take enforcement action to ensure compliance with the regulation shown.

Standard	Regulation	Requirement	Time scale
C9	Regulation 18 Schedule 2	<p><b>Findings</b> Not all required information was available in staff files.</p> <p><b>Action Required</b> The registered person is required to ensure that all required information is available in staff files.</p>	<p>One month (Discussed on day of visit) Completed (Confirmed by provider)</p>

## Recommendations

Recommendations may relate to aspects of the standards or to national guidance. They are for registered persons to consider but they are not generally enforced.

Standard	Recommendation
C15	It is advised that Protection of Vulnerable Adult training should be undertaken within the next six months.

The Healthcare Inspectorate Wales exists to promote improvement in health and healthcare. We have a statutory duty to assess the performance of healthcare organisations for the NHS and coordinate reviews of healthcare by others. In doing so, we aim to reduce the regulatory burden on healthcare organisations and align assessments of the healthcare provided by the NHS and the independent (private and voluntary) sector.

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